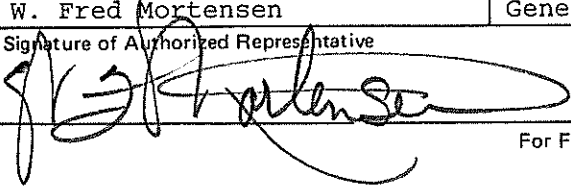


<b>APPLICATION FOR FEDERAL ASSISTANCE (FOR CONSTRUCTION PROGRAMS)</b>  <b>PART I</b>		1. State Clearinghouse Identifier	
		75090824	
3. Federal Grantor Agency Environmental Protection Agency Region IX Organizational Unit		2. Applicant's Application No.	
		C-06-1022-130	
N/A Administrative Office		4. Applicant Name	
		Sewer Authority Mid-coastside Department Division	
N/A Street Address - P. O. Box		N/A	
		Street Address - P. O. Box	
N/A Street Address - P. O. Box		501 Main Street	
		P.O. Box 67	
215 Fremont Street City State Zip Code San Francisco CA 94105		City County	
		Half Moon Bay San Mateo State Zip Code California 94019	
5. Descriptive Name of the Project			
Regional Wastewater Treatment Facility			
6. Federal Catalog No.		7. Federal Funding Requested	
66.418		\$ 6,870,000.00	
8. Grantee Type			
_____ State, _____ County, _____ City, <u>Agency</u> Other (Specify)			
9. Type of Application or Request			
<u>XX</u> New Grant, _____ Continuation, _____ Supplement, _____ Other Changes (Specify)			
10. Type of Assistance			
<u>XX</u> Grant, _____ Loan, _____ Other (Specify)			
11. Population Directly Benefiting from the Project		13. Length of Project	
15,282		24 months	
12. Congressional District		14. Beginning Date	
11th		July 1981	
b.		15. Date of Application	
11th		May 27, 1981	
16. The applicant certifies that to the best of his knowledge and belief the data in this application are true and correct, and that he will comply with the attached assurances if he receives the grant. The applicant agrees that if a grant is awarded on the basis of this application or any revision or amendment thereof, it will comply with all applicable statutory provisions and with the applicable terms, conditions, and procedures of the Environment Protection Agency grant regulations (40 CFR Chapter 1, Subchapter B) and of the grant agreement.			
Typed name		Title	
W. Fred Mortensen		General Manager	
Signature of Authorized Representative		Telephone Number	
		Area Code	Number
		Ext.	
		415	726-5566
		N/A	
For Federal Use Only			

**PART II**  
**PROJECT APPROVAL INFORMATION**  
**SECTION A**

**Item 1.**

Does this assistance request require State, local, regional, or other priority rating?

XX Yes \_\_\_\_\_ No \_\_\_\_\_

Name of Governing Body \_\_\_\_\_ SWRCB

Priority Rating \_\_\_\_\_ B

**Item 2.**

Does this assistance request require State, or local advisory, educational or health clearances?

Yes \_\_\_\_\_ No (Attach Documentation) \_\_\_\_\_

Name of Agency or Board \_\_\_\_\_

**Item 3.**

Does this assistance request require clearinghouse review in accordance with OMB Circular A-95? (Attach Comments)

Yes \_\_\_\_\_ No \_\_\_\_\_

**Item 4.**

Does this assistance request require State, local, regional or other planning approval?

X Yes \_\_\_\_\_ No \_\_\_\_\_

Name of Approving Agency \_\_\_\_\_ ABAG/BASSA

Date \_\_\_\_\_ 5-20-76/2-4-76, Res. 76-8

**Item 5.**

Is the proposed project covered by an approved comprehensive plan?

X Yes \_\_\_\_\_ No \_\_\_\_\_

Check one: State ☐ Local ☐ Regional ☒ EPA REGION IX

**Item 6.**

Will the assistance requested serve a Federal installation?

Yes \_\_\_\_\_ No \_\_\_\_\_

Name of Federal Installation \_\_\_\_\_

Federal Population benefiting from Project \_\_\_\_\_

**Item 7.**

Will the assistance requested be on Federal land or installation?

Yes \_\_\_\_\_ No \_\_\_\_\_

Name of Federal Installation \_\_\_\_\_

Location of Federal Land \_\_\_\_\_

Percent of Project \_\_\_\_\_

**Item 8.**

Will the assistance requested have an impact or effect on the environment?

X Yes \_\_\_\_\_ No \_\_\_\_\_

See Project EIR, \_\_\_\_\_

**Item 9.**

Has the project for which assistance is requested caused, since January 1, 1971, or will it cause, the displacement of any individual, family, business, or farm?

Yes \_\_\_\_\_ No \_\_\_\_\_

Number of: Individuals \_\_\_\_\_ Families \_\_\_\_\_ Businesses \_\_\_\_\_ Farms \_\_\_\_\_

**Item 10.**

Is there other related Federal assistance on this project previous, pending, or anticipated?

Yes \_\_\_\_\_ No \_\_\_\_\_

See instructions for additional information to be provided.

# INSTRUCTION

## PART II – SECTION B

11. SITES AND IMPROVEMENTS: <u>X</u> Not required, _____ Attached as exhibits Applicant intends to acquire the site through: _____ Long term lease from a member agency. _____ Eminent domain, _____ Negotiated purchase, <u>X</u> Other means (specify)
12. TITLE OR OTHER INTEREST IN THE SITE IS OR WILL BE VESTED IN: _____ CC Applicant, _____ Agency or institution operating the facility, _____ Other (specify)
13. INDICATE WHETHER APPLICANT/OPERATOR HAS: /WILL HAVE: _____ Fee simple title, <u>XX</u> Leasehold interest, _____ Other (specify)
14. IF APPLICANT/OPERATOR HAS LEASEHOLD INTEREST, GIVE THE FOLLOWING INFORMATION: a. Length of lease or other estate interest <u>20</u> , and number of years to run <u>20</u> b. Is lease renewable? <u>X</u> Yes _____ No c. Current appraised value of land \$ <u>80-100,000</u> d. Annual rental rate \$ <u>Undetermined</u>
15. ATTACH AN OPINION FROM ACCEPTABLE TITLE COUNSEL DESCRIBING THE INTEREST APPLICANT/OPERATOR HAS IN THE SITE AND CERTIFYING THAT THE ESTATE OR INTEREST IS LEGAL & VALID
16. WHERE APPLICABLE, ATTACH SITE SURVEY, SOIL INVESTIGATION REPORTS AND COPIES OF LAND APPRAISALS.
17. WHERE APPLICABLE, ATTACH CERTIFICATION FROM ARCHITECT ON THE FEASIBILITY OF IMPROVING EXISTING SITE TOPOGRAPHY.
18. ATTACH PLOT PLAN.
19. CONSTRUCTION SCHEDULE ESTIMATES: _____ Not required, _____ Being prepared, _____ Attached as exhibits Percentage of completion of drawings and specifications at application date: Schematics _____ % Preliminary _____ % Final _____ %
20. TARGET DATES FOR: Bid Advertisement _____ Contract Award _____ Construction Completion _____ Occupancy _____
21. DESCRIPTION OF FACILITY: _____ Not Required _____ Attached as exhibits Drawings – Attach any drawings which will assist in describing the project. Specifications – Attach copies of completed outline specifications. <i>(If drawings and specifications have not been fully completed, please attach copies or working drawings that have been completed.)</i>

NOTE: ITEMS ON THIS SHEET ARE SELF-EXPLANATORY; THEREFORE, NO INSTRUCTIONS ARE PROVIDED.

# PART III - BUDGET INFORMATION - CONSTRUCTION

## Section A - General

FEDERAL DOMESTIC ASSISTANCE CATALOG NO.:

66.418

## Section B - Calculation

COST CLASSIFICATION	% Eligible	Total Dollar Amount Required	Eligible Project	Grant Amount: 75% Federal 12 1/2% State	Applicant's Amount
1. Administrative Expenses	87.5	80,000	80,000	70,000	10,000
2. Land, Structures, Right-of-Way					
3. Architectural/Engineering Fees (STEP I)					
4. Architectural/Engineering Fees (STEP II)					
5. Architectural/Engineering Fees (STEP III)	87.5	680,000	680,000	595,000	85,000
6. Construction and Project Improvement Cost	87.5	8,000,000	8,000,000	7,000,000	1,000,000
7. Equipment					
8. Contingencies	87.5	400,000	400,000	350,000	50,000
9. Relocation Payments					
10. Indirect Costs					
11. SUBTOTAL	87.5	9,160,000	9,160,000	8,015,000	1,145,000
12. Grant Review Fee					
13. TOTALS	87.5	9,160,000	9,160,000	8,015,000	1,145,000

## Section C - Proposed Method of Financing

1. GRANTEE SHARE	\$ 1,145,000
a. Securities	
b. Mortgages	
c. Appropriations (By Applicant)	
d. Bonds	1,145,000
e. Tax Levies	
f. Non Cash (Explain)	
g. Other (Explain)	
h. TOTAL - Grantee Share	1,145,000
2. OTHER SHARES	
a. State	1,145,000
b. Other	
c. Federal	6,870,000
3. TOTAL	\$ 9,160,000

## PART IV

### ASSURANCES

The applicant hereby assures and certifies that he will comply with the regulations, policies, guidelines and requirements, including Office of Management and Budget Circulars Nos. A-87, A-95, and A-102, as they relate to the application, acceptance and use of Federal funds for this federally-assisted project. Also, the applicant gives assurance and certifies with respect to the grant that:

1. It possesses legal authority to apply for the grant, and to finance and construct the proposed facilities; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
2. It will comply with the provisions of: Executive Order 11296, relating to evaluation of flood hazards.
3. It will have sufficient funds available to meet the non-Federal share of the cost for construction projects. Sufficient funds will be available when construction is completed to assure effective operation and maintenance of the facility for the purposes constructed.
4. It will obtain approval by the appropriate Federal agency of the final working drawings and specifications before the project is advertised or placed on the market for bidding; that it will construct the project, or cause it to be constructed, to final completion in accordance with the application and approved plans and specifications; that it will submit to the appropriate Federal agency for prior approval changes that alter the costs of the project, use of space, or functional layout; that it will not enter into a construction contract(s) for the project or undertake other activities until the conditions of the construction grant program(s) have been met.
5. It will provide and maintain competent and adequate architectural engineering supervision and inspection at the construction site to insure that the completed work conforms with the approved plans and specifications; that it will furnish progress reports and such other information as the Federal grantor agency may require.
6. It will operate and maintain the facility in accordance with the minimum standards as may be required or prescribed by the applicable Federal, State and local agencies for the maintenance and operation of such facilities.
7. It will give the grantor agency and the Comptroller General through any authorized representative access to and the right to examine all records, books, papers, or documents related to the grant.
8. It will require the facility to be designed to comply with the "American Standard Specifications for Making Buildings and Facilities Accessible to, and Usable by, the Physically Handicapped," Number A117.1-1961, as modified (41 CFR 101-17.703). The applicant will be responsible for conducting inspections to insure compliance with these specifications by the contractor.
9. It will cause work on the project to be commenced within a reasonable time after receipt of notification from the approving Federal agency that funds have been approved and that the project will be prosecuted to completion with reasonable diligence.
10. It will not dispose of or encumber its title or other interests in the site and facilities during the period of Federal interest or while the Government holds bonds, whichever is the longer.
11. It will comply with Title VI of the Civil Rights Act of 1964 (P.L. 88 352) and in accordance with Title VI of that Act, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.
12. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
13. It will comply with the requirements of Title II and Title III of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally assisted programs.
14. It will comply with all requirements imposed by the Federal grantor agency concerning special requirements of law, program requirements, and other administrative requirements approved in accordance with Office of Management and Budget Circular No. A-102.
15. It will comply with the provisions of the Hatch Act which limit the political activity of employees.
16. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, as they apply to hospital and educational institution employees of State and local governments.



PART III - BUDGET INFORMATION - CONSTRUCTION

Section A - General

FEDERAL DOMESTIC ASSISTANCE CATALOG NO.:

66.418

Section B - Calculation

COST CLASSIFICATION	% Eligible	Total Dollar Amount Required	Eligible Project	Grant Amount: 87½%: 75% Federal 12½% State	Applicant's Amount
1. Administrative Expenses	87.5	<del>80,000</del> 56,000	80,000	70,000	10,000
2. Land, Structures, Right-of-Way					
3. Architectural/Engineering Fees (STEP I)					
4. Architectural/Engineering Fees (STEP II)					
5. Architectural/Engineering Fees (STEP III)	87.5	<del>680,000</del> 476,000	680,000	595,000	85,000
6. Construction and Project Improvement Cost	87.5	<del>8,000,000</del> 5,600,000	8,000,000	7,000,000	1,000,000
7. Equipment					
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9. Relocation Payments					
10. Indirect Costs					
11. SUBTOTAL	87.5	<del>9,160,000</del> 6,412,000	9,160,000	8,015,000	1,145,000
12. Grant Review Fee					
13. TOTALS	87.5	9,160,000	9,160,000	8,015,000	1,145,000

Section C - Proposed Method of Financing

1. GRANTEE SHARE	\$ 1,145,000
a. Securities	
b. Mortgages	
c. Appropriations (By Applicant)	
d. Bonds	1,145,000
e. Tax Levies	
f. Non Cash (Explain)	
g. Other (Explain)	
h. TOTAL - Grantee Share	1,145,000
2. OTHER SHARES	
a. State	1,145,000
b. Other	
c. Federal	6,870,000





RESOLUTION 5-81

RECEIVED  
JUN 19 1981  
DIVISION OF WATER SUPPLY

RESOLUTION AUTHORIZING FILING APPLICATION FOR  
FEDERAL AND STATE GRANTS FOR  
PUBLICLY OWNED WASTEWATER TREATMENT WORKS  
AND PROVIDING CERTAIN ASSURANCES

BE IT RESOLVED by the BOARD OF DIRECTORS  
(Governing Board of Municipality)  
that the  
GENERAL MANAGER  
(Title of Representative) is hereby authorized

and directed to sign and file, for and on behalf of the SEWER  
AUTHORITY MID-COASTSIDE, an Application for Federal  
(Municipality)

Assistance under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.) and any agreements or other documents necessary to secure and receive a grant under said act and a state grant under the Clean Water Bond Law of 1970 and/or 1974 for construction of wastewater treatment works, and this Board hereby ratifies and confirms such signing and filing by the General Manager.

BE IT RESOLVED that the SEWER AUTHORITY MID-COASTSIDE  
(Municipality)  
hereby agrees and further does authorize the aforementioned representative to certify that if grants for its project are made pursuant to the aforementioned federal and state statutes, it will comply with all applicable state and federal statutory and regulatory requirements, including but not limited to the Assurances contained in the Federal Grant Application and the State Grant Contract, The Freedom of Information Act, 5 U.S.C. 552 as amended relating to the right of the public to obtain information and records, The National Historic Preservation Act of 1966, U.S.C. 470 et seq., as amended, relating to the preservation of historic landmarks, The Copeland (Anti-Kickback) Act, 18 U.S.C. 874, 40 U.S.C. 276c and the regulations issued thereunder, 29 CFR 3.1 et seq., and The Contract Work Hours and Safety Standards Act, 40 U.S.C. 327 et seq., and the regulations issued thereunder.

## CERTIFICATION

I do hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the

SEWER AUTHORITY MID-COASTSIDE  
(Governing Board of Municipality)

held on Monday, June 8, 1981  
(Date)

I hereby certify that the foregoing is  
a true and correct copy of the original  
document on file in the office of the  
City Clerk of the City of Half Moon Bay.

Date: June 10, 1981

City Clerk: Deborah A. Rios

Craig S. Chandler  
(Clerk for Authorized Record  
Keeper of Governing Board)  
SECRETARY of the Board



STATEMENT OF AVAILABILITY OF FUNDS

(Prepared in Compliance with  
Section 2123(c), California  
Administrative Code, Title 23,  
Waters, Chapter 3, Sub-Chapter 7)

I hereby certify that the SEWER AUTHORITY MID-COASTSIDE  
(municipality)

shall provide funds as  
detailed hereon for the construction of REGIONAL WASTEWATER

TREATMENT FACILITY  
(name or description of project)

for which I, as the authorized representative of the above named Municipality, have filed an application for a Clean Water Grant pursuant to the Federal Water Pollution Control Act (33 U.S.C. 1151 et. seq.) and the California Clean Water Bond Law of 1970 and/or 1974 (Water Code, Division 7, Chapter 13 and 14).

<u>Source of Funds</u>	<u>Amount for this Project</u>
G.O. Bond Issue authorized by _____% "yes" vote in the total amount of \$ _____ on _____. (date)	\$
Revenue Bond Issue authorized by N/A % "yes" vote in the total amount of \$ 1,800,000** on N/A _____. (date)	\$1,800,000
Cash Reserves now on deposit.	\$
Accounts Receivable* By _____ (date of expected first contract award).	\$
Short Term Loans or Notes.*	\$ 500,000 (shown with 1022-120,
Other.*	\$ _____
TOTAL	\$1,800,000 _____ A

\*Attach sheet showing details of legal authorization (cite appropriate code or other legal references, etc.), exact source of funds and time of expected receipt, and (in the case of liabilities) the security provided by the Municipality.

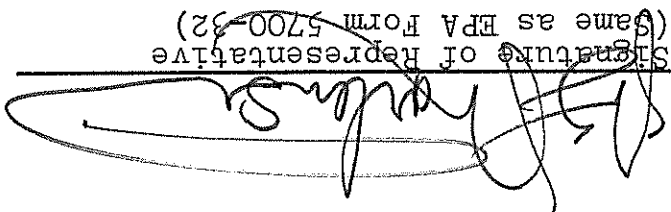
\*\*\$700,000 from Half Moon Bay; \$450,000 from Montara Sanitary District, and \$650,000 from Granada Sanitary District.

(2/76)

Date

May 27, 1981

Signature of Representative  
(Same as EPA Form 5700-32)



Applicant needs 100% of Applicants Amount plus approximately 25% to 100% of Grant Amount in order to pay costs while State and Federal Grant Payments are processed.

If percentage is less than 100% for Step 1, 100% for Step 2, or 25% for Step 3, attach detailed cash-flow analysis showing all expected cash outlay requirements and how they will be met by funds of municipality or grant receipts from State and Federal government or other. Show source of all receipts.

$$\text{Cash-flow ratio} = \frac{A}{B} \times 100 = \frac{25.11}{\%}$$

"Total Dollar Amount Required" (as estimated on Federal application form EPA 5700-32, page 4, section B)	\$ 9,160,000
Subtract "Grant Amount" (as estimated on Federal application form EPA 5700-32, page 4, section B)	\$ 8,015,000
Remainder Equals "Applicants Amount"	\$ 1,145,000

B